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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/827,021	04/19/2004	Richard Devlin Schwarz	114129.121 US3

23483
 WILMER CUTLER PICKERING HALE AND DORR LLP
 60 STATE STREET
 BOSTON, MA 02109

**CONFIRMATION NO. 2504****FORMALITIES LETTER**

OC000000013161950

Date Mailed: 07/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted*****Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
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*A copy of this notice **MUST** be returned with the reply.*

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M. NGUYEN

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 114129.121US3)

In re Application of: Schwarz, et al.) Examiner: To Be Assigned
Serial No. 10/827,021) Group Art Unit: 3624
Filing Date: April 19, 2004)
For: METHODS FOR EVALUATING THE FINANCIAL STRENGTH OF A HOLDING IN
COMPARISON TO OTHER HOLDINGS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

9-7-04
Date


Leslie Rukstalis

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
Filed Under 37 C.F.R. 1.53(b)

Dear Sir:

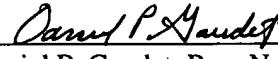
In response to the Notice to File Missing Parts of Nonprovisional Application, mailed July 7, 2004, Applicants submit the following:

1. Notice to File Missing Parts of Nonprovisional Application mailed July 7, 2004;
2. Executed Declaration and Power of Attorney;
3. Payment of \$65.00 Late Filing Fee;
4. Certificate of First Class Mailing; and
5. Return Postcard.

The surcharge fee of \$65.00 pursuant to 37 C.F.R. §1.16(e) and any additional fees that might be due should be charged to Deposit Account No. 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Date: Sept 7, 2004
Wilmer Cutler Pickering Hale and Dorr LLP
60 State Street
Boston, MA 02109
(617) 526-6000
(617) 526-5000 (Facsimile)


Daniel P. Gaudet, Reg. No. 48,584
Attorney/Agent for Applicants



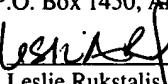
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9-7-04
Date


Leslie Rukstalis

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing in the above-identified patent application is a Response to Notice to File Missing Parts of Nonprovisional Application. Please charge all fees due in connection with this matter, or credit any overpayment to Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Date: Sept 7, 2004
Wilmer Cutler Pickering Hale and Dorr LLP
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Boston, MA 02109
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Daniel P. Gaudet, Reg. No. 48,584
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